# **UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Docket No. OSP-10057

## To the Assistant C mmissi ner f r Patents:

Transmitted herewith for filing is the patent application of:

Takeo MATSUKI and Yoshihiro TAKAISHI

corresponding to Japanese application No. 2000-015215, filed January 25, 2000,

entitled: SEMICONDUCTOR DEVICE STRUCTURE AND METHOD FOR MANUFACTURING THE SAME

#### **Enclosed are:**

- 14 pages of specification. Χ 6 sheets of formal drawings. Χ a newly-executed declaration of the inventors. a copy of an executed declaration of the inventor from prior application Serial No., filed.
  - incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
  - X an assignment of the invention to NEC Corporation, including assignment cover sheet.
  - Information Disclosure Statement with Form PTO-1449. Χ
  - Χ copies of the Information Disclosure Statement citations.
    - preliminary amendment.
  - Χ return receipt postcard (MPEP 503), specifically itemized.
    - applicant claims small entity status under 37 CFR 1.27.
- Χ a certified copy of the Japanese Priority Document.
- other: Application Data Sheet .

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

[] Continuation [] Divisional [] Continuation-in-part (CIP)

of prior application No., filed.

Х	Customer No. 000466.
Х	Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202.
Х	Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.

UTILITY PATENT APPLICATION TRANSMITTAL LETTER (continued)					Docket No. OSP-10057	
			CLAIMS AS	FILED		
**-			NO. FILED	NO. EXTRA	RATE	FEE
BASIC F	EE				\$ 710	\$ 710
TOTAL C	LAIMS	<u></u>	6 - 20 =	0	x\$ 18	
INDEPE	NDENT	CLAIMS	2 <b>- 3</b> =	0	x\$ 80	
MULTIPLE DEPENDENT CLAIM PRESENT				\$ 270		
					TOTAL	<b>\$</b> 710
If applicant claims small 37 CFR 1.27, then divide enter amount here.				SMALL E		\$
A check in the amount of \$750 to cover the filing fee is enclosed.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.  Charge the amount of \$ as filing fee.  Credit any overpayment.  Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.  Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.						
			745 South Arlington,	on No. 17,355 23rd Street		

January 23, 2001



(Only for new nonprovisional applications under 37 CFR 1.53(b)

Docket No. OSP-10057



## T the Assistant Commissi ner for Patents:

Transmitted herewith for filing is the patent application of:

Takeo MATSUKI and Yoshihiro TAKAISHI

corresponding to Japanese application No. 2000-015215, filed January 25, 2000,

entitled: SEMICONDUCTOR DEVICE STRUCTURE AND METHOD FOR
MANUFACTURING THE SAME

### **Enclosed are:**

EIICIC	Enclosed are:				
Х	14 pages of specification.				
Х	6 sheets of formal drawings.				
Х	a newly-executed declaration of the inventors.				
	a copy of an executed declaration of the inventor from prior application Serial No. , filed .				
	incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.				
Х	an assignment of the invention to NEC Corporation, including assignment cover sheet.				
Х	Information Disclosure Statement with Form PTO-1449.				
Х	copies of the Information Disclosure Statement citations.				
	preliminary amendment.				
Х	return receipt postcard (MPEP 503), specifically itemized.				
	applicant claims small entity status under 37 CFR 1.27.				
Х	a certified copy of the Japanese Priority Document.				
Х	other: Application Data Sheet .				

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

[] Continuation [] Divisional [] Continuation-in-part (CIP)

of prior application No., filed.

Х	Customer No. 000466.
X	Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202.
Х	Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.

3

## **UTILITY PATENT APPLICATION TRANSMITTAL LETTER** Docket No. (continued) OSP-10057 **CLAIMS AS FILED** NO. FILED NO. EXTRA RATE FEE **BASIC FEE** \$ 710 \$ 710 **TOTAL CLAIMS** 0 x\$ 18 6 - 20 =0 INDEPENDENT CLAIMS 2 - **3** = x\$ 80 **MULTIPLE DEPENDENT CLAIM** \$ 270 **PRESENT TOTAL \$** 710 If applicant claims small entity status under **SMALL ENTITY** 37 CFR 1.27, then divide total fee by 2, and TOTAL \$ enter amount here. Χ A check in the amount of \$750 to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as Χ described below. A duplicate copy of this sheet is enclosed. Charge the amount of \$ as filing fee. Χ Credit any overpayment. Х Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application. Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance. Robert J. Patch Registration No. 17,355 745 South 23rd Street Arlington, VA 22202 Telephone 703/521-2297 January 23, 2001